

| NO. | NAME OF THE REPORT   | ORIGINAL DEADLINE  | EXTENSION   |
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| 1.  | Annual reporting on the basis of Circular IML 97/136 (O 4.1./ O.4.2 (OPC))   | <ul style="list-style-type: none"> <li>UCITS: four months from the reference date</li> <li>Non-UCITS six months from the reference date</li> </ul> | until 30 June 2020  |
| 2.  | Monthly reporting UCIs with formal guarantee (O 1.2.)  | 10 days following the end of the month   | until 30 June 2020  |
| 3.  | Quarterly reporting of investment companies which did not designate a management company and internally managed alternative investment funds on the basis of Circular CSSF 18/698. (G.2.1) | 20 calendar days following the end of the preceding month  | until 31 August 2020  |
| 4.  | Quarterly reporting. of management companies subject to chapters 15 and 16 on the basis of Circular CSSF 15/633 (G.2.1)  | 20 calendar days following the end of the preceding month  | up to 40 calendar days following the end of the preceding month   |
| 5.  | Management letter on the basis of Circular CSSF 02/81  | <ul style="list-style-type: none"> <li>UCITS: four months from the reference date</li> <li>Non-UCITS six months from the reference date</li> </ul> | additional period of three months   |
| 6.  | Semi-annual reporting of SICARs on the basis of Circular CSSF 08/376 (K3.1)  | 45 calendar days following the reference date  | may be suspended until further notice   |
| 7.  | Semi-annual reporting URR (UCITS Risk Reporting)   | 55 calendar days following the reference date  | CSSF to notify of a postponement in due time  |
| 8.  | Closing documents to be provided annually by IFMs pursuant to sub-point (3) to (15) of point (3) of Annex 2 to Circular CSSF 18/698  | five months following the closing of the IFM's financial year  | <ul style="list-style-type: none"> <li>Until 30 August 2020 for the IFMs which closed the financial year on 31/12/2019</li> <li>Up to three months for the IFMs which closed financial year after 31/12/2019</li> </ul> |
| 9.  | Management letter of IFM   | within the month following the AGM approving the annual accounts and at the latest seven months after the closing of the IFM financial year        | additional period of one month  |
| 10. | Quarterly reporting of authorised AIFMs with the list of managed AIFs  |  | until 30 June 2020  |
| 11. | Quarterly reporting of pension funds   | 20 calendar days following the end of the preceding quarter  | until 20 July 2020  |
| 12. | Management letter of pension funds   | six months from the closing date of the financial year   | until 31 August 2020  |

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| 13. | Actuarial report of pension funds  | six months from the closing date of the financial year   | until 30 September 2020   |
| 14. | Management letter of authorised securitisation undertakings  | six months from the closing date of the financial year   | additional period of two months   |
| 15. | Quarterly reporting VaR & Leverage (UCITS)   | relevant for a limited number of UCITS that have been contacted directly by the CSSF in the past | may be suspended until further notice – notification about suspension must be send to: <a href="mailto:opc@cssf.lu">opc@cssf.lu</a>   |
| 16. | Monthly reporting of Money Market Funds (UCITS/AIF) – must not be confused with quarterly reporting under art. 37 MMF Regulation (see No.19 below)   | relevant for a limited number of UCITS that have been contacted directly by the CSSF in the past | may be suspended until further notice – notification about suspension must be send to: <a href="mailto:opc@cssf.lu">opc@cssf.lu</a>   |
| 17. | Early warning reporting of large redemptions (UCITS) – must not be confused with the reporting on large redemptions established recently by the CSSF in the context of the COVID-19 (entities in scope have been directly contacted by the CSSF directly). | relevant for a limited number of UCTIS that have been contacted directly by the CSSF in the past | suspended until further notice  |
| 19. | Quarterly reporting of Money Market Funds Managers (art. 37 MMF Regulation) – Q1 and Q2 2020.  | April 2020 (Q1)<br>July 2020 (Q2)  | both quarterly reports for Q1 and Q2 extended until September 2020- ESMA published an amended <a href="#">XML schema (version 1.1) and reporting instructions</a> on 4 June 2020. |